

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS

INFORMATION

DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000123US1SERIAL NO.
09/718,924APPLICANT:
Timothy Roy BlockFILING DATE:
November 22, 2000GROUP:
2151

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
AA							RECEIVED
AB							JUN 7 2001
AC							Technology Center 2100
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							YES
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BE	AR	Robert Miller, IBM Patent Application filed December 7, 2000, Serial No. 09/732,198, "Peer Protocol Status Query In Clustered Computer System."
	AS	Robert Miller et al., IBM Patent Application filed October 27, 2000, Serial No. 09/697,398, "Group Data Sharing During Membership Change In Clustered Computer System."
	AT	Robert Miller et al., IBM Patent Application filed August 14, 2000, "Serial No. 09/638,328, "Merge Protocol for Clustered Computer System"

EXAMINER

Bradley Coleman

DATE CONSIDERED

2/26/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Sheet 1 of 2

Application Number.: 09/718,924
 Filing Date: November 22, 2000
 First Named Inventor: Timothy Block
 Art Unit: 2151
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000123US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
B E	A	US - 4709365A	11/24/1987	Nicholas C. L. Beale et al	
	B	US - 5146590A	09/08/1992	Raymond A. Lorie et al	
	C	US - 5179699A	01/12/1993	Balakrishna R. Iyer et al	
	D	US - 5502818A	03/26/1996	Lauri Lamberg	NOV 20 2003
	E	US - 5640554A	06/17/1997	Riichiro Take	
	F	US - 5729687A	03/17/1998	Lewis V. Rothrock et al	Technology Center 2100
	G	US - 5973724A	10/26/1999	Guy G. Riddle	
	H	US - 6317867B1	11/13/2001	Elmootazbellah Elnozahy	
	I	US - 6427148B1	07/30/2002	David Cossack	
	J	US - 6460039B1	10/01/2002	Shlomit Pinter et al	
	K	US - 6529958B1	03/04/2003	Yoshihiro Oba et al	
	L	US - 6564372B1	05/13/2003	Boris A. Babaian et al	
↓	M	US - 6578032B1	06/10/2003	Raman Chandrasekar et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	<i>Bradley Edelman</i>	Date Considered	2/26/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

Application Number.: 09/718,924
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Attorney Docket Number.: ROC920000123US1

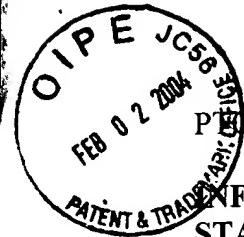
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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RE		US - 5704032A	12/30/1997	Peter Richard Badovinatz et al	
		US - 5787249A	07/28/1998	Peter Richard Badovinatz et al	
		US - 5805786A	09/08/1998	Peter Richard Badovinatz et al	
		US - 5926619A	07/20/1999	Peter Richard Badovinatz et al	
		US - 6108699A	08/22/2000	Hossein Moiin	
		US - 6343320B1	01/29/2002	Steven E. Fairchild et al	
					RECEIVED
					FEB 05 2004
					Technology Center 2100

FOREIGN PATENT DOCUMENTS

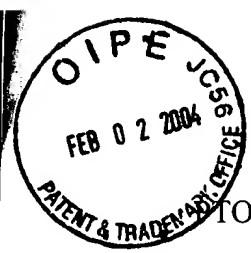
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